



#52155  
SP  
11-13-03

PATENT  
Attorney Docket No. CTX-024  
(1545/45)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Collins  
APPLICATION NO.: 09/762,917 ART UNIT: 2155  
FILING DATE: February 13, 2001 EXAMINER: Not yet assigned  
TITLE: Apparatus and Method for Extracting Algorithmic Information From a Message Stream

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 11th day of September, 2003

  
Irja Zarembok

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

SEP 16 2003

Technology Center 2100

Submitted herewith are:

Transmittal Form (1 pg.);  
Second Information Disclosure Statement (2 pgs.);  
Second PTO Form-1449 (1 pg.);  
Copies of the Cited References (A113; C20); and  
Return Receipt Postcard

2680432



# TRANSMITTAL FORM

Application Number	09/762,917
Filing Date	February 13, 2001
First Named Inventor	Collins
Art Unit	2155
Examiner Name	Not yet assigned
Attorney Docket No.	CTX-024
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input type="checkbox"/> Petition for Extension of Time  <input checked="" type="checkbox"/> Second Information Disclosure Statement (2 pgs.) <input checked="" type="checkbox"/> Second Form PTO-1449 (1 pg.) <input checked="" type="checkbox"/> Copies of Cited References (A113; C20)  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)  <input type="checkbox"/> Formal Drawings  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input type="checkbox"/> Additional Enclosure (please identify below)  <div>RECEIVED SEP 16 2003 Technology Center 2100</div>
--	--	--

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: September 11, 2003  
Reg. No. 50,389  
Tel. No. (617) 248-7097  
Fax No. (617) 248-7100  
Robert S. Blasi, Esq.  
Attorney for the Applicant  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110



PATENT  
Attorney Docket No. CTX-024  
(1545/45)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Collins  
APPLICATION NO.: 09/762,917 ART UNIT: 2155  
FILING DATE: February 13, 2001 EXAMINER: Not Yet Assigned  
TITLE: Apparatus and Method for Extracting Algorithmic Information From a  
Message Stream

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
SEP 16 2003  
Technology Center 2100

SECOND INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, the Applicant hereby makes of record the patent and publication listed on the accompanying Second Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. A copies of the cited references (A113; C20) are enclosed.

In accord with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

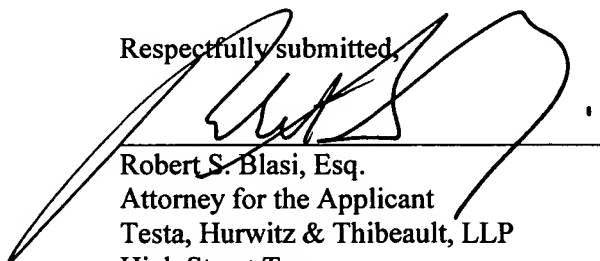
It is respectfully requested that each of the patents and publications listed on the attached Second Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Robert S. Blasi, Esq.  
Attorney for the Applicant  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: September 11, 2003  
Reg. No. 50,389

Tel. No.: (617) 248-7097  
Fax No.: (617) 248-7100



SECOND FORM PTO - 1449				ATTORNEY DOCKET NO.: CTX-024					
				APPLICANT: Collins					
				APPLICATION NO.: 09/762,917					
				FILING DATE: February 13, 2001 GROUP: 2155					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A113	5,293,379	3/8/94	Carr		370	94.1	5/27/93	
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C20	Examination Report under Section 18(3) for Application No. GB0103589.8 (2 pg.)							
EXAMINER					DATE CONSIDERED				

2680371

RECEIVED

SEP 16 2003

Technology Center 2100